# Quantum Algorithms Tutorial

Ronald de Wolf



**DuSoft** 



# Post-quantum cryptography

- Quantum computers can break public-key cryptography that is based on assuming hardness of factoring, discrete logs, and a few other problems
- Post-quantum cryptography tries to design classical crypto schemes that cannot be broken efficiently by quantum algorithms
- Classical codemakers vs quantum codebreakers
- This tutorial:

Get to know your enemy!

# Quantum bits

 Richard Feynman, David Deutsch in early 1980s:



Harness quantum effects for useful computations!

- Classical bit is 0 or 1; quantum bit is superposition of 0 and 1 For example, can use an electron as qubit, with 0 = "spin up" and 1 = "spin down"
- 2 qubits is superposition of 4 basis states (00,01,10,11)
   3 qubits is superposition of 8 basis states (000,001, ...)
   ...
   1000 qubits: superposition of 2<sup>1000</sup> states
- Massive space for computation! Easier said than done...

# A bit of math: states

• 1-qubit basis states: 
$$|0\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$
 and  $|1\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$   
• Qubit: superposition  $\alpha_0 |0\rangle + \alpha_1 |1\rangle = \begin{pmatrix} \alpha_0 \\ \alpha_1 \end{pmatrix} \in \mathbb{C}^2$ 

2-qubit basis state: 
$$|10\rangle = |1\rangle \otimes |0\rangle = \begin{pmatrix} 0\\1 \end{pmatrix} \otimes \begin{pmatrix} 1\\0 \end{pmatrix} = \begin{pmatrix} 0\\0\\1\\0 \end{pmatrix}$$

• *n*-qubit state: 
$$|\psi\rangle = \sum_{x \in \{0,1\}^n} \alpha_x |x\rangle \in \mathbb{C}^{2^n}$$

- Axiom: measuring state  $|\psi\rangle$  gives  $|x\rangle$  with probability  $|\alpha_x|^2$ 

$$\blacktriangleright \ {\rm Hence} \ \sum_{x\in\{0,1\}^n} |\alpha_x|^2 = 1, \quad {\rm so} \ |\psi\rangle \ {\rm is \ a \ vector \ of \ length \ } 1$$

# A bit of math: operations

- Quantum operation maps quantum states to quantum states and is *linear* => corresponds to unitary matrix
- Example 1-qubit gates:

$$X = \left( egin{array}{c} 0 & 1 \ 1 & 0 \end{array} 
ight)$$
,  $Z = \left( egin{array}{c} 1 & 0 \ 0 & -1 \end{array} 
ight)$ ,  $T = \left( egin{array}{c} 1 & 0 \ 0 & e^{\pi i/4} \end{array} 
ight)$ 

• More quantum: Hadamard gate =  $\frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$ 

$$H|0
angle=rac{1}{\sqrt{2}}(|0
angle+|1
angle), \quad H|1
angle=rac{1}{\sqrt{2}}(|0
angle-|1
angle)$$

But 
$$H\frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) = \frac{1}{\sqrt{2}}H|0\rangle + \frac{1}{\sqrt{2}}H|1\rangle = |0\rangle$$

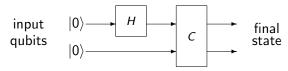
#### Interference!

• Controlled-NOT gate on 2 qubits:  $|a, b\rangle \mapsto |a, a \oplus b\rangle$ 

# Quantum circuits

- A classical Boolean circuit consists of AND, OR, and NOT gates on an *n*-bit register
- A quantum circuit consists of unitary quantum gates on an *n*-qubit register (allowing *H*, *T*, and CNOT gates suffices)

#### Example:



$$|00
angle \stackrel{H\otimes l}{\longrightarrow} rac{1}{\sqrt{2}} (|00
angle + |10
angle) \stackrel{ ext{CNOT}}{\longrightarrow} rac{1}{\sqrt{2}} (|00
angle + |11
angle)$$

This circuit creates an EPR-pair: entanglement!

Recap: From classical to quantum computation

- bits  $\longrightarrow$  qubits
- ► AND/OR/NOT gates → unitary quantum gates
- ► classical circuit → quantum circuit
- $\blacktriangleright$  reading the output bit  $\longrightarrow$  measuring final state

# Quantum mechanical computers

- 1. Start with all qubits in easily-preparable state (e.g. all  $|0\rangle)$
- 2. Run a circuit that produces the right kind of interference: computational paths leading to correct output should interfere constructively, others should interfere destructively
- 3. Measurement of final state gives classical output

Two important questions:

- 1. Can we build such a computer?
- 2. What can it do?

This tutorial: 2nd question, focus on quantum algorithms

#### Quantum parallelism

- Suppose classical algorithm computes  $f : \{0,1\}^n \rightarrow \{0,1\}^m$
- Convert this to quantum circuit  $U:|x
  angle|0
  angle\mapsto|x
  angle|f(x)
  angle$
- We can now compute f "on all inputs simultaneously"!

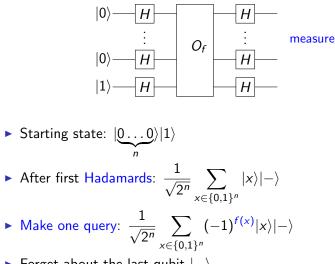
$$U\left(\frac{1}{\sqrt{2^n}}\sum_{x\in\{0,1\}^n}|x\rangle|0\rangle\right) = \frac{1}{\sqrt{2^n}}\sum_{x\in\{0,1\}^n}|x\rangle|f(x)\rangle$$

- This contains all 2<sup>n</sup> function values!
- But observing gives only one random |x>|f(x)>
   All other information will be lost
- More tricks needed for successful quantum computation Interference!

#### Deutsch-Jozsa problem

- ► Given: function  $f : \{0,1\}^n \rightarrow \{0,1\}$  (2<sup>n</sup> bits), s.t. (1) f(x) = 0 for all x (constant), or (2) f(x) = 0 for  $\frac{1}{2} \cdot 2^n$  of the x's (balanced)
- Question: is f constant or balanced?
- Classically: need at least  $\frac{1}{2} \cdot 2^n + 1$  steps ("queries" to f)
- Quantumly: O(n) gates suffice, and only 1 query
- Query: application of unitary  $O_f : |x, 0\rangle \mapsto |x, f(x)\rangle$
- More generally:  $O_f : |x, b\rangle \mapsto |x, b \oplus f(x)\rangle \ (b \in \{0, 1\})$
- ▶ NB using  $|-\rangle = H|1\rangle$ , we can get queried bit as a ±-phase:  $O_f|x\rangle|-\rangle = (-1)^{f(x)}|x\rangle|-\rangle$

#### Deutsch-Jozsa algorithm



# Deutsch-Jozsa (continued)

After second Hadamard:

$$\frac{1}{\sqrt{2^{n}}} \sum_{x \in \{0,1\}^{n}} (-1)^{f(x)} \frac{1}{\sqrt{2^{n}}} \sum_{y \in \{0,1\}^{n}} (-1)^{x \cdot y} |y\rangle$$
$$\alpha_{0\dots0} = \frac{1}{2^{n}} \sum_{x \in \{0,1\}^{n}} (-1)^{f(x)} = \begin{cases} 1 & \text{if constant} \\ 0 & \text{if balanced} \end{cases}$$

- Measurement gives right answer with certainty
- ► Big quantum-classical separation: O(n) vs  $\Omega(2^n)$  steps
- But the problem is efficiently solvable by bounded-error classical algorithm (just query f at a few random x)

The meat of this tutorial: 4 quantum algorithms

1. Shor's factoring algorithm

2. Grover's search algorithm

3. Ambainis's collision-finding algorithm

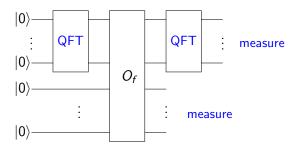
4. HHL algorithm for linear systems

# Factoring

- Given  $N = p \cdot q$ , compute the prime factors p and q
- Fundamental mathematical problem since Antiquity
- Fundamental computational problem on log N bits 15 = 3 × 5 12140041 = 3413 × 3557
- ▶ Best known classical algorithms use time  $2^{(\log N)^{\alpha}}$ , where  $\alpha = 1/2$  or 1/3
- Its assumed computational hardness is basis of public-key cryptography (RSA)
- A quantum computer can break this, using Shor's efficient quantum factoring algorithm!

# Overview of Shor's algorithm

- ▶ Classical reduction: choose random x ∈ {2,..., N − 1}.
   It suffices to find period r of f(a) = x<sup>a</sup> mod N
- Shor uses the quantum Fourier transform for period-finding



Overall complexity: roughly (log N)<sup>2</sup> elementary gates

# Reduction to period-finding

- ▶ Pick a random integer  $x \in \{2, ..., N-1\}$ , s.t. gcd(x, N)=1
- The sequence  $x^0, x^1, x^2, x^3, \dots$  mod *N* cycles:

has an unknown period r (min r > 0 s.t.  $x^r \equiv 1 \mod N$ )

- With probability ≥ 1/4 (over the choice of x): r is even and x<sup>r/2</sup> ± 1 ≠ 0 mod N
- Then:

 $x^{r} = (x^{r/2})^{2} \equiv 1 \mod N \iff$  $(x^{r/2} + 1)(x^{r/2} - 1) \equiv 0 \mod N \iff$  $(x^{r/2} + 1)(x^{r/2} - 1) = kN \text{ for some } k$ 

- $x^{r/2} + 1$  and  $x^{r/2} 1$  each share a factor with N
- ▶ This factor of *N* can be extracted using gcd-algorithm

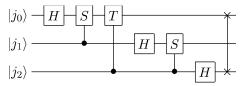
# Quantum Fourier transform

Fourier basis (dimension q):  $|\chi_j\rangle = \frac{1}{\sqrt{q}} \sum_{k=0}^{q-1} e^{\frac{2\pi i j k}{q}} |k\rangle$ 

Such a state is unentangled  $|\chi_{j_0 j_1 j_2}\rangle =$ 

 $\frac{1}{\sqrt{8}}(|0\rangle + e^{2\pi i 0.j_2}|1\rangle) \otimes (|0\rangle + e^{2\pi i 0.j_1 j_2}|1\rangle) \otimes (|0\rangle + e^{2\pi i 0.j_0 j_1 j_2}|1\rangle)$ 

- Quantum Fourier Transform:  $|j\rangle \mapsto |\chi_j\rangle$
- If  $q = 2^{\ell}$ , then can implement this with  $O(\ell^2)$  gates.



• For Shor: choose  $q = 2^{\ell}$  in  $(N^2, 2N^2]$ 

Easy case for the analysis: r|q

1. Apply QFT to 1st register of  $\underbrace{|0...0\rangle}_{\ell \text{ qubits }} \underbrace{|0...0\rangle}_{\lceil \log N \text{ qubits} \rceil}$ :

$$rac{1}{\sqrt{q}}\sum_{a=0}^{q-1}|a
angle|0
angle$$

2. Compute  $f(a) = x^a \mod N$  (by repeated squaring)

$$\frac{1}{\sqrt{q}}\sum_{a=0}^{q-1}|a\rangle|x^a \bmod N\rangle$$

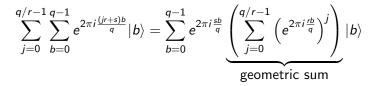
Observing 2nd register gives |x<sup>s</sup> mod N⟩ (random s < r)</li>
 1st register collapses to superposition of

$$|s\rangle, |r+s\rangle, |2r+s\rangle, \dots, |q-r+s\rangle$$

# Easy case: r|q (continued)

Recall: 1st register is in superposition  $\sum_{j=0}^{q/r-1} |jr+s\rangle$ 

4. Apply QFT once more:



Sum  $\neq 0$  iff  $e^{2\pi i \frac{rb}{q}} = 1$  iff  $\frac{rb}{q}$  is an integer Only the *b* that are multiples of  $\frac{q}{r}$  have non-zero amplitude!

# Easy case: r|q (continued)

5. Observe 1st register: random multiple  $b = c \frac{q}{r}$ ,  $c \in [0, r)$ :

$$\frac{b}{q} = \frac{c}{r}$$

b and q are known; c and r are unknown

- c and r are coprime with probability  $\geq 1/\log \log r$
- Then: we find r by writing  $\frac{b}{q}$  in lowest terms
- ▶ Since we can find *r*, we can find prime factors of *N* !

Hard case  $(r \not| q)$  still works approximately: measurement gives b s.t.  $\frac{b}{q} \approx \frac{c}{r}$ ; we can find r with some extra number theory

# Summary for Shor's algorithm

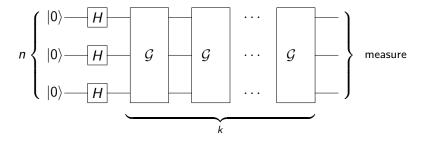
- Reduce factoring to finding the period r of modular exponentiation function f(a) = x<sup>a</sup> mod N
- ► Use quantum Fourier transform to find a multiple of q/r, repeat a few times to find r
- Overall complexity:
  - QFT takes  $O(\log q)^2 = O(\log N)^2$  elementary gates
  - ► Modular exponentiation: ≈ (log N)<sup>2</sup> log log N gates; classical computation by repeated squaring (use Schönhage-Strassen algo for fast multiplication)
  - Everything repeated O(log log N) times
  - Classical postprocessing takes O(log N)<sup>2</sup> gates
- Roughly  $(\log N)^2$  elementary gates in total

# The search problem

- We want to search for some good item in an unordered N-element search space
- ▶ Model this as function  $f : \{0,1\}^n \to \{0,1\}$   $(N = 2^n)$ f(x) = 1 if x is a solution
- ► We can query f:  $O_f : |x\rangle|0\rangle \mapsto |x\rangle|f(x)\rangle$ or  $O_f : |x\rangle \mapsto (-1)^{f(x)}|x\rangle$
- Goal: find a solution
- Classically this takes O(N) steps (queries to f)
- Grover's algorithm does it in  $O(\sqrt{N})$  steps

# Grover's algorithm

• Apply Grover iteration  $\mathcal{G}$  k times on uniform starting state



Idea: each iteration moves amplitude towards solutions

#### The good state and the bad state

- Suppose there are t solutions
- Define "good" state and "bad" state:

$$|G\rangle = \frac{1}{\sqrt{t}} \sum_{x:f(x)=1} |x\rangle$$
  $|B\rangle = \frac{1}{\sqrt{N-t}} \sum_{x:f(x)=0} |x\rangle$ 

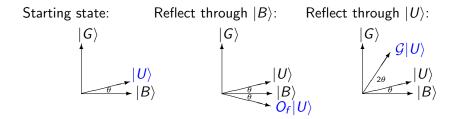
- ► Initial uniform state is  $|U\rangle = \sin(\theta)|G\rangle + \cos(\theta)|B\rangle$ for  $\theta = \arcsin(\sqrt{t/N})$
- All intermediate states will be in span{ $|G\rangle$ ,  $|B\rangle$ }
- Grover iteration is a rotation over angle 2θ so after k iterations the state is

$$\sin((2k+1)\theta)|G\rangle + \cos((2k+1)\theta)|B\rangle$$

#### One Grover iteration: rotation by $2\theta$

 $\mathcal{G} = H^{\otimes n} R H^{\otimes n} \cdot O_f$ , where *R* reflects through  $|0^n\rangle$ This  $\mathcal{G}$  is the product of two reflections:

- 1.  $O_f$  reflects through  $|B\rangle$
- 2.  $H^{\otimes n}RH^{\otimes n}$  reflects through  $|U\rangle$



How many iterations do we need?

Success probability after k iterations:

 $\sin^2((2k+1)\theta)$ , with  $\theta = \arcsin(\sqrt{t/N}) \approx \sqrt{t/N}$ 

• If 
$$k = \frac{\pi}{4\theta} - \frac{1}{2}$$
, then success probability is  $\sin^2(\pi/2) = 1$ 

- Example: t = N/4 solutions  $\Rightarrow k = 1$
- ▶ In general, round k to nearest integer (incurs small error)
- Query complexity is  $k \approx \frac{\pi}{4} \sqrt{N/t}$ This is optimal for a quantum algorithm!
- Gate complexity is  $O(\sqrt{N/t} \log N)$

# Summary for Grover's algorithm

- Quantum computers can search any *N*-element space with  $t = \varepsilon N$  solutions, in  $O(\sqrt{N/t}) = O(1/\sqrt{\varepsilon})$  iterations
  - 1. Set up uniform starting state |U
    angle
  - 2. Repeat the following  $O(1/\sqrt{\varepsilon})$  times:

2.1 Reflect through  $|B\rangle$  (costs 1 query)

- 2.2 Reflect through  $|U\rangle$  (costs  $O(\log N)$  gates)
- 3. Measure final state to obtain an index i
- ▶ If we don't know  $\varepsilon = t/N$ , we can try different guesses, still find a solution with expected number of  $O(1/\sqrt{\varepsilon})$  iterations
- The algorithm has a small error probability, but can be modified to error 0 if we know t exactly

# Application: Speed up NP problems

Given a propositional formula f(x<sub>1</sub>,...,x<sub>n</sub>)
 Computable in time poly(n)

Question: is *f* satisfiable?

- This is a typical NP-complete problem
- Search space of  $N = 2^n$  possibilities
- Classically: exhaustive search is the best we know.
   This takes about N steps
- Quantumly: Grover finds a satisfying assignment in  $\sqrt{N} \cdot poly(n)$  steps
- Because Grover is optimal, we believe that NP-hard problems cannot be efficiently computed by quantum algorithms

# Classical random walks

 Explore a graph by moving to random neighbor in each step



- If G is d-regular and connected: normalized adjacency matrix has "spectral gap"  $\delta \in (0, 1)$ . Starting from any vertex,  $O(1/\delta)$  random walk steps produce uniform distribution
- Suppose an ε-fraction of the vertices are "marked" and we want to find such a marked vertex. Simple classical algorithm:
  - 1. Start at random vertex v (setup cost **S**)
  - 2. Do the following  $O(1/\varepsilon)$  times:
    - 2.1 Check if v is marked (checking cost **C**)
    - 2.2 Rerandomize v by  $O(1/\delta)$  RW steps (step cost U)

This finds marked item w.h.p. Cost is  $\mathbf{S} + \frac{1}{\varepsilon} \left( \mathbf{C} + \frac{1}{\delta} \mathbf{U} \right)$ 

#### Quantum walks

- Quantum walk: walk in superposition over vertices (edges)
- Analogy with Grover's algorithm:
  - $|G\rangle =$  uniform superposition over edges with marked endpoint
  - |B
    angle = uniform superposition over all other edges
  - $|U
    angle = \sin( heta)|G
    angle + \cos( heta)|B
    angle$ ,  $heta = \arcsin(1/\sqrt{arepsilon})$ 
    - 1. Setup starting state  $|U\rangle$  (setup cost S)
    - 2. Repeat the following  $O(1/\sqrt{\varepsilon})$  times:
      - 2.1 Reflect through  $|B\rangle$  (checking cost C)
      - 2.2 Reflect through  $|U\rangle$

(can be implemented using  $1/\sqrt{\delta}$  QW steps, each at cost  ${\bf U})$ 

3. Measure and check that resulting vertex is marked.

Correctness analogous to Grover. Cost is  $\mathbf{S} + \frac{1}{\sqrt{\varepsilon}} \left( \mathbf{C} + \frac{1}{\sqrt{\delta}} \mathbf{U} \right)$ 

# Example: Ambainis's algorithm ('03)

Suppose we want to find a collision in  $h:[n] \to \mathbb{N}$ 

- G = Johnson graph: the vertices are the sets R ⊆ [n] of size r. Edge between sets R and R' if they differ in 1 element
- Fraction of vertices of G that contain collision:  $\varepsilon \ge (r/n)^2$
- Known: spectral gap is  $\delta pprox 1/r$
- With each vertex R, algorithm records h(R); setup cost S = r; checking cost C = 0; update cost U = O(1)

► Total cost: 
$$\mathbf{S} + \frac{1}{\sqrt{\varepsilon}} \left( \mathbf{C} + \frac{1}{\sqrt{\delta}} \mathbf{U} \right) \stackrel{r=n^{2/3}}{=} O(n^{2/3})$$

• Classically:  $\Theta(n)$  *f*-evaluations needed

If h is 2-to-1: run on random set of  $\sqrt{n}$  inputs (whp 1 collision) to get complexity  $O(n^{1/3})$ 

Classically:  $\Theta(\sqrt{n})$  *f*-evaluations, by birthday paradox

HHL algorithm for "solving" large linear systems

Solving large linear systems Ax = b is one of the most important problems in science and engineering.

Goal: given matrix A and vector b, find vector x

Harrow-Hassidim-Lloyd'09: "solves" this problem exponentially faster by preparing state |x> IF system is well-behaved:

Assumptions

- (1) state |b
  angle easy to prepare;
- (2) A is well-conditioned:  $\lambda_{max}/\lambda_{min}$  not too big;
- (3) unitary operation  $e^{iA}$  is easy to apply (sparseness suffices)

# How does the Harrow-Hassidim-Lloyd algorithm work?

- Input: Hermitian matrix A ∈ ℝ<sup>N×N</sup> and vector b ∈ ℝ<sup>N</sup>
   Goal: approximately prepare |x⟩, where Ax = b
- ▶ Let  $v_1, \ldots, v_N, \lambda_1, \ldots, \lambda_N$  be eigenvectors, eigenvalues of A
- HHL algorithm:
  - 1. Prepare quantum state  $|b\rangle = \sum_{i=1}^{N} \beta_i |v_i\rangle$ NB: applying  $A^{-1}$  corresponds to multiplying with  $\lambda_i^{-1}$
  - 2. Use eigenvalue estimation:  $\sum_{i=1}^{N} \beta_i |v_i\rangle |\lambda_i\rangle$
  - 3. Make new qubit  $\sum_{i=1}^{N} \beta_i |v_i\rangle |\lambda_i\rangle \left(\lambda_i^{-1} |\mathbf{0}\rangle + \sqrt{1 \lambda_i^{-2}} |1\rangle\right)$
  - 4. Uncompute  $|\lambda_i\rangle$  by inverting eigenvalue estimation
  - 5. Amplify the  $|0\rangle$ -part to end with  $\sum_{i=1}^{N} \beta_i \lambda_i^{-1} |v_i\rangle = |x\rangle$

#### What else can a quantum computer do?

- Similar to Shor: discrete logarithm, solve Pell's equation, compute properties of number fields, ...
- Similar to Grover: maximum-finding, approximate counting, shortest paths in graphs, minimum spanning trees, ...
- Similar to quantum walks: finding small subgraphs, matrix-product verification, junta-testing, backtracking, ...
- Similar to HHL: quantum machine learning, principal component analysis, recommendation systems, ...
- Efficiently simulating quantum-mechanical systems.
   Could be very important for drug design, material sciences...

# What quantum algorithms *cannot* do

 You can simulate every quantum algorithm with an exponentially slower classical computer

This implies that the set of *computable* problems doesn't change: Church-Turing thesis remains intact

For many problems we can show that quantum computers give no significant speed-up

or at most a quadratic speed-up (e.g., Grover is optimal)

 NP-complete problems form a famous and important class of hard computational problems: satisfiability, Traveling Salesman Problem, protein folding,...

Conjectured: quantum computers can't efficiently solve them

# Conclusion

- Quantum mechanics is the best physical theory we have
- Fundamentally different from classical physics: superposition, interference, entanglement
- Quantum algorithms use these non-classical effects to solve some problems much faster
- We saw 4 important examples:
  - 1. Shor's factoring algorithm
  - 2. Grover's search algorithm
  - 3. Ambainis's collision-finding algorithm
  - 4. HHL algorithm for linear systems

# Much more left to be discovered...

#### Phase estimation

Suppose we can apply U and are given one of its eigenvectors |v⟩ as a quantum state. Goal: learn eigenvalue e<sup>2πiθ</sup>
 Suppose phase θ = 0.θ<sub>1</sub>...θ<sub>ℓ</sub> has ℓ bits of precision

• Remember QFT: 
$$|j\rangle \mapsto |\chi_j\rangle = \frac{1}{\sqrt{2^{\ell}}} \sum_{k=0}^{2^{\ell}-1} e^{\frac{2\pi i j k}{2^{\ell}}} |k\rangle$$

Phase estimation algorithm:

1. Start with 
$$|0^{\ell}\rangle|v\rangle$$
  
2. Apply  $H^{\otimes \ell}$ :  $\frac{1}{\sqrt{2^{\ell}}} \sum_{k \in \{0,1\}^{\ell}} |k\rangle|v\rangle$ 

3. Conditioned on 1st register, apply  $U^k$  to 2nd register:

$$\frac{1}{\sqrt{2^{\ell}}}\sum_{k\in\{0,1\}^{\ell}}|k\rangle e^{2\pi i\theta k}|v\rangle = \frac{1}{\sqrt{2^{\ell}}}\sum_{k\in\{0,1\}^{\ell}}e^{2\pi i\theta k}|k\rangle|v\rangle$$

4. Inverse QFT on first register gives j = θ2<sup>ℓ</sup> = θ<sub>1</sub>...θ<sub>ℓ</sub>
▶ With O(1/ε) applications of U: ε-error approximation of θ